

PATENT
Docket No. 342312003801

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 332778815 US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: September 8, 2004

Signature: 

(Tamara Alcaraz)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Larry A. LAREW et al.

Serial No.: 09/942,458

Filing Date: August 29, 2001

For: ECHINOCANDIN/CARBOHYDRATE
COMPLEXES

Examiner: R. Teller

Group Art Unit: 1654

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

09/13/2004 SSESHE1 00000042 031952 09942458

01 FC:1806 180.00 DA

This Supplemental Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

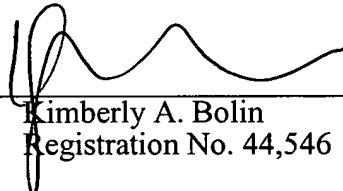
The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 342312003801. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 8, 2004

Respectfully submitted,

By: _____


Kimberly A. Bolin
Registration No. 44,546

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5740
Facsimile: (650) 494-0792

Form PTO-1449

Docket Number 342312003801

Application Number 09/942,458

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

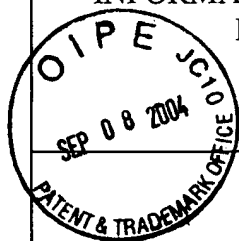
Applicant

Larry A. LAREW et al.

Filing Date August 29, 2001

Group Art Unit 1654

Mailing Date September 8, 2004



U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|----------------------|-------------|------------|--------------|------------------|-------|----------|-------------------------------|
| | 1. | 09/07/1982 | 4,348,384 | Horikoshi et al. | | | |
| | 2. | 08/25/1992 | 5,141,674 | Leigh | | | |
| | 3. | 09/14/1999 | 5,952,008 | Bäckström et al. | | | |
| | 4. | 11/16/1999 | 5,985,309 | Edwards et al. | | | |
| | 5. | 11/30/1999 | 5,993,805 | Sutton et al. | | | |
| | 6. | 12/14/1999 | 6,001,336 | Gordon | | | |
| | 7. | 11/28/2000 | 6,153,224 | Staniforth | | | |
| | 8. | 07/31/2001 | 6,267,958 | Andya et al. | | | |
| | 9. | 09/04/2001 | 6,284,277 | Bouloumie et al. | | | |
| | 10. | 09/04/2001 | 6,284,282 | Maa et al. | | | |
| | 11. | 10/30/2001 | 6,309,623 | Weers et al. | | | |
| | 12. | 08/13/2002 | 6,433,040 | Dellamary et al. | | | |
| | 13. | 09/17/2002 | 6,451,349 | Robinson et al. | | | |
| | 14. | 11/05/2002 | 6,475,523 | Staniforth | | | |
| | 15. | 05/20/2003 | 6,565,885 | Tarara et al. | | | |
| | 16. | 10/28/2003 | 6,638,495 | Weers et al. | | | |
| | 17. | 12/09/2003 | 6,660,843 | Feige et al. | | | |
| | 18. | 02/10/2004 | 6,689,390 | Bernstein et al. | | | |
| | 19. | 03/23/2004 | 6,709,650 | Sutton et al. | | | |
| | 20. | 06/01/2004 | 6,743,777 | Burkhardt et al. | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
|----------------------|-------------|------|--------------|---------|-------|----------|-----------------------|
| | | | | | | | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.